



IAG Privacy Policy Notice

Protecting your privacy is important to The Investor Advisory Group and our employees. In order to provide our clients with a broad range of financial products and services as effectively as possible, we use technology to manage and maintain customer information. We recognize that our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and are very careful to preserve the private nature of our relationship with you. At the same time, the nature of our business sometimes requires that we collect or share certain information about you with other organizations or companies. We want you to understand what information we collect and how we use it. The following policy serves as a standard for all Investor Advisory Group employees for collection, use, retention, and security of non-public personal information.

We may collect non-public personal information about you from the following sources:

- Information we receive from you or your authorized representative on applications or other forms, in interviews, or by other means;
- Information collected to open or transfer your account;
- Information about your transactions with us, our affiliates, or others; and
- Information we collect when you visit our web site.

“Non-public personal information” is nonpublic information about you that we obtain in connection with providing a financial product or service to you. For example, nonpublic personal information includes information regarding your account balance or history.

We do not disclose any nonpublic personal information about our customers to anyone, except as permitted by law. Examples of permitted disclosures under the law may include:

- to companies that assist us in servicing your transactions or account(s);
- to government entities, regulators or law enforcement authorities in response to subpoenas;
- to companies, such as our web site host, who provide support services to us, such as on-line account reporting;
- to other affiliates who work with us to provide a service for you, such as planners, advisers, agents, brokers, dealers, and representatives. We may disclose the financial information we collect to financial service affiliates such as brokers, dealers, investment companies, insurance companies, insurance agencies, and securities broker-dealers. These disclosures will be only to the extent permitted by law.

Any medical or health information we collect about you will be disclosed to third parties only to underwrite insurance or administer your policy or claim, as permitted by law or as authorized by you.

All such companies are obligated to keep the information that we provide to them confidential, cannot use your personal information for their own purposes, and may use the information only to provide the services that we have asked them to perform for you and us.

We do not sell your personal information to anyone. We do not share your name and address among affiliates and do not give access to our mailing list to any persons or companies. Unless you have specifically authorized us to do so, we will not share your personal information with other affiliates who may work with us to provide a service for you.

We restrict access to nonpublic information about you to those employees who need to know that information to provide products or services to you. We maintain physical, electronic, and procedural safeguards to guard your nonpublic personal information. This includes measures to protect your information in the course of its disposal. Your personal information is protected in our office with locked doors and an alarm system.

Disposal policy: We instruct all employees to shred private information that is no longer needed. If we utilize an outside vendor for disposal, we will take steps to determine that each such provider is able to dispose of material in a manner consistent with the rule of the SEC. When we replace or upgrade electronic equipment, we ensure that personal information is completely deleted.

We will continue to evaluate our efforts to protect personal information and to make every effort to keep your personal information accurate and up to date. If you have any questions or concerns about this policy, or wish to change your personal information on file with us, please contact us.